



**Sarcoma
Patient Advocacy
Global Network**

CODE OF PRACTICE between

Sarcoma Patient Advocacy Global Network e.V./Assoc. (SPAGN) and the Healthcare Industry

Sarcoma Patient Advocacy Global Network e.V./Assoc.

Untergasse 36, 61200 Woelfersheim, Germany

<http://www.sarcoma-patients.org/>

info@sarcoma-patients.org

Twitter/Facebook: @sarcomapatients

Sarcoma Patient Advocacy
Global Network e.V./Assoc.
Untergasse 36
61200 Wölfersheim
Germany
Fon: 0049-6036 9836 141
info@sarcoma-patients.org

***Working together,
making a difference.***

Founded: 6th of April 2009 in Bad Nauheim (under German law)
Registered: 11th of August 2009
Registered Association: Registry Friedberg/Germany VR 2609
Memberships: Full Members, Corporate Members, Supporters
Tax No.: 020 227 90219 (tax authorities Giessen/Germany)

Vision

Working together, making a difference.

Practical focus

Acting in partnership with clinical experts, scientific researchers, industry and other stakeholders, SPAGN is working to improve treatment and care of sarcoma patients through improving information and support, and by increasing the visibility of sarcoma with policymakers and the public.

Our Vision

Our vision is that one day sarcomas will be preventable or curable. Until then, patients, experts and other stakeholders are working together globally to

- drive sarcoma research,
- improve early and correct diagnosis,
- secure timely access to interdisciplinary expert-care and
- provide patient-oriented information and support.

To make sure that more sarcoma patients will live longer with a better quality of life.

Our Mission

SPAGN is the global network of Sarcoma Patient Advocacy Organisations who strengthen one other and provide a common, influential, international patient voice. Our joy and passion in working across borders drive us to achieve necessary change together with our collaborators.

Objectives

- Increasing the awareness of sarcoma
- Providing information and support
- Improving treatment and care
- Supporting research
- Building capacity

Page No. 1 / 8 Pages Total

Registered Association: Registry Friedberg/Germany VR 2609

Board of Directors: Chairs: Markus Wartenberg (DE), Gérard van Oortmerssen (NL),
Members: Kai Pilgermann (DE), Christina Baumgarten (DE), Denise Reinke (US),
Sorrel Bickley (UK), Vandana Gupta (IND)

German VAT-Number: DE268771102

Core Values

- We are focused on the needs of our member organizations and sarcoma patients / carers
- We are motivated to advocate proactively based on evidence
- We strive for a high quality of information and education: up-to-date, correct, understandable, editorially independent
- Our working style is reliable, transparent, team- and result-oriented
- As a global organization, we do not intervene directly in individual countries, we behave in a culturally sensitive manner and free of political or religious differences

Many interests and stakeholders interact in our healthcare systems. Patient organizations have the role of ensuring that the patients' voice is heard at all levels of decision making, implementation and monitoring of policies and actions that concern health and healthcare and that the existing system achieves the best outcome for society. Patient organizations have a vital interest in interacting and communicating with stakeholders, including healthcare professionals, medical experts, regulators, scientists, industry, etc. - in the interest of their patients. Good communication will embrace trust, integrity, honesty and openness.

- The valuable and essential work of patient organizations and the service they provide needs to be recognised, valued and supported. However, most groups are struggling to find sufficient, diversified, resources, to fulfil their mission and objectives and remain independent, whether funding comes from corporate or public sources. Funding support for international activities is difficult to obtain. It very much depends on the organization raising its own financial resources and relying largely on volunteers to do the work.
- Credibility, transparency, independence and democracy are the most treasured assets of patient organizations. Every organization aims to be in a position to carry out its work based on the support of purely altruistic charitable contributions. However, there are only very few non-commercial sources prepared to fund patient organizations at a international level. This poses an ongoing challenge to all international patient organizations/networks/coalitions.
- There is a need to develop a strategy that will balance corporate funding with funding from other sources. We owe it to our future members and patients globally, who trust us, to act in a fully democratic, independent and transparent manner, according to the highest standards of good governance. We derive our legitimacy from our membership, our statutes and our democratically elected boards, many of whom are patients, caregivers or survivors who volunteer their time and expertise.
- Patients' organizations are keen to work in a constructive manner together with all stakeholders to ensure that the integrity of their organization is safeguarded.

CONTENT

A. Purpose of Policy

B. Funding: Categories

C. Sustaining Partnership

D. Funding: Guiding Principles

E. Guidelines for Commercial Companies

F. Privacy Statement

SPAGN's "Code of Practice" is as follows:

Sarcoma Patient Advocacy Global Network e.V./Assoc. (SPAGN) welcomes corporate donations, grants and sponsorship to fund certain projects and to enable the Association to grow and develop. This document outlines SPAGN's policy on commercial funding and provides a set of principles that will be used to guide SPAGN's decisions. It does not set out to provide a definition of every possible funding opportunity. This policy will apply to all potential relations with any company from any industry.

This policy is based on the

- IKCC International Kidney Cancer Coalition's "Code of Conduct"
- UK Breast Cancer Coalition's Policy Paper on Funding Arrangements with Commercial/Corporate Organizations
- EURORDIS's policy on corporate sponsorship,
- ECPC European Cancer Patient Coalition's policy,
- the recommendations of the UK's Long Term Medical Conditions Alliance.

A. Purpose of Policy

- To ensure that SPAGN has a consistent and transparent approach to working with commercial companies.
- To ensure the Association maintains its independence from commercial influences. SPAGN reserves the right to terminate any project or partnership where agreements have been transgressed or where the relationship contravenes SPAGN's "Code of Practice".
- To clarify SPAGN's position to patients, its member organizations, commercial companies and the wider public.
- To provide clear direction for SPAGN Board Members, enabling them to initiate relations with commercial companies with the full backing and endorsement from the members of the Association.
- SPAGN's Board Members are expected to adhere to the policy that will be reviewed annually and may be revised as circumstances demand.

B. Funding: Categories

There are different funding categories, including:

Project funding is where the Association will establish a collaborative partnership with a commercial company to undertake a project relating to an issue of mutual interest. The following features will apply to this relationship:

- SPAGN maintains editorial control over all materials produced in connection with the project
- The company will have representation on the project steering committee
- The sponsor's logo can be used - by negotiation with the company
- SPAGN will not endorse or promote the company or its individual products or services
- The wording and/or position of the credit will be agreed upon in negotiations with the company
- SPAGN and the commercial partners will both benefit from the relationship

Sponsorship is where a company funds a single activity such as a meeting or newsletter. In this case the following will apply:

- The company has no involvement in the activity for which the sponsorship is granted
- SPAGN maintains editorial control over all materials or meeting programmes
- SPAGN will not endorse or promote the sponsor or its individual products or services
- The sponsor's logo can be used – by negotiation with the company
- The wording and/or position of the credit are part of the sponsorship negotiations with the company
- SPAGN and the commercial partners will both benefit from the relationship

Unrestricted grants are defined where all of the following apply:

- The company has no right to be involved in the project for which the grant is used
- The grant will be acknowledged
- The company's logo is not used
- The Association controls the wording and placement of the credit
- SPAGN will not endorse or promote the company or its individual products or services

C. Corporate Membership (formerly called “Sustaining Partnership”)

SPAGN “**Corporate Members**” are commercial companies that want to establish a long-term relationship with the Association and wish to demonstrate their commitment to sarcoma patients by helping SPAGN promote global understanding of the burden of sarcomas and the right of patients to effective early diagnosis detection, better treatment and supportive care.

A “**Corporate Member**” that donates a grant of 27,500 € or more per year receives in that year:

- Recognition as a Corporate Member on the SPAGN Website and other communication materials of the Association.
- Direct link from and to the Websites. (This is only possible in negotiations with the company and in accordance to legal regulations.)
- Opportunity to meet the SPAGN leadership at an annual “Corporate Members/Sustaining Partner Meeting” to discuss issues of concern to both commercial organizations and sarcoma patients.
- Opportunity to propose collaborative projects which will have priority status over those proposed by Non-Sustaining Partners.
- Regular e-mail updates on SPAGN’s activities.

The “**Corporate Membership Research & Experience**” is a new funding category for companies with new therapies in the drug development process. Both partners are usually very interested

- to bring the patient experience into the company as early as possible and
- to discuss/support the design, information and roll out/recruitment for a study together with the company and involved colleagues from the medical expert community (investigators).

This funding category is focused on both areas “research & experience” to avoid any overlap with the “Corporate Membership” funding category. It aims at contributing to make research, studies and treatment in GIST and sarcomas even more patient-oriented.

A “Corporate Member Research & Experience” donates a grant of 15.000 € or more per year. Same regulations as for the “Corporate Memberships” apply (see above).

D. Funding: Guiding Principles

SPAGN will foster dialogue, and establish collaborative partnerships, with companies/trusts/funds/charitable organizations whose commercial decisions affect the survival and quality of life of sarcoma patients.

Patient organization - pharmaceutical company partnerships are sometimes viewed with scepticism by decision-makers, the media and the general public. Some believe that pharmaceutical companies coerce patient organizations into conforming to their marketing agenda. In order to protect the Association's reputation and demonstrate that SPAGN does not conform to commercial agendas, SPAGN will adhere to the following principles when accepting project funding, core funding, sponsorship, grants or donations from commercial companies/trusts/funds/charitable organizations:

- SPAGN will maintain an open, transparent and ethical relationship with all commercial and non-commercial partners.
- Where possible, SPAGN will solicit funding from consortia composed of two or more companies from the same industry (known as "multi-sponsoring").
- Before accepting funding the "Board of Directors" must be satisfied that there are strong grounds for believing the donation, grant or sponsorship will result in benefit to the Association and its members.
- SPAGN will closely review all potential commercial partners' corporate governance policies, their reputation in working with patient organizations and their environmental policies and practices. If there is any cause for concern, the Association will reject the funds.
- SPAGN will not endorse or promote a company or its individual products or services. The purpose of the Association is to inform their member organizations independently and not to promote or recommend special therapies, services, clinical trials or off-label use of therapies.
- A company's support will be acknowledged in an appropriate way following discussions with the company concerned.
- SPAGN commercial partners must agree to abide with the guidelines outlined in "Chapter E." below.
- If a company makes any attempt to coerce the Association to conform to its marketing agenda, either explicitly or implicitly, or does not conform to the agreement, SPAGN will reject the funds or terminate an on-going project.

E. Guidelines for Commercial Companies

The Association will not embark upon or continue with any sponsorship, grant making or collaborative venture that might damage its reputation or result in the dissemination of biased and inaccurate information to the general public and/or cancer patients. Therefore, when working with the Association, commercial companies should adhere to the following guidelines:

1. The Association must be consulted whenever and wherever its name is used, particularly in external communications. Approval must be sought for any copy produced which refers to an Association initiative supported by a commercial organization.
2. At no time can the SPAGN's logo be used without explicit written permission from the "Board of Directors".
3. At no time can press releases be issued which refer to the Association without prior approval of the "Board of Directors". Likewise suggested quotes can be prepared but must always be agreed by the Steering Group.
4. The Association retains a veto over all materials produced in connection with an initiative. Adequate approval time needs to be allowed for this as it may require approval from one or more Board Members.
5. All materials produced as part of an initiative of the Association will be in full compliance with the applicable legislation on information and advertising of medicinal products and will be impartial as to products discussed.
6. For all projects where the Association may be working in collaboration with a company and/or agency, clear lines of communication need to be established with agreement on respective responsibilities and assignments to ensure smooth progress with the project. The Association will have one point of contact identified within the company and/or company.
7. All correspondence with SPAGN should be directed to the elected "Board of Directors" not to individual members. The Board will delegate special responsibilities to individual Board members such as e.g . the management of relations with commercial companies.

F. Privacy Statement

- Individuals and organizations that are registered with SPAGN are entitled to expect that their information will be held in strictest confidence.
- No information about specific individuals or organizations is ever shared with, sold to, rented to, or made available in any way to any outside party without the specific prior approval of those entities.
- Internal access to such information is handled on a strict need-to-know basis under a signed confidentiality agreement with the SPAGN Board of Directors.